



Docket 83559RLW
Customer No. 01333

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

David J. Nelson, et al

AUTHENTICATION METHOD AND
APPARATUS FOR USE WITH
COMPRESSED FLUID PRINTED
SWATCHES

Serial No. 10/625,426

Filed 23 July 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA. 22313-1450

Group Art Unit: To Be Assigned

Examiner: To Be Assigned

I hereby certify that this correspondence is being deposited
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Box 1450, Alexandria, VA 22313-1450.

Anita Marie Barker
Anita Marie Barker

October 17, 2003
Date

Sir:

INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION
BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application. In compliance with §1.56, such documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. A full text copy of each document is attached, except for copies of U.S. patents and U.S. patent application publications. For documents not in English, an English translation or an equivalent English language patent or publication may be attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement (hereinafter "Statement") is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application (other than a CPA), or before the mailing of a first Office action on the merits or before the mailing of a first Office action after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Certification is enclosed.

III. ☐ This Statement is being filed under §1.97(c), with a certification under, §1.97(e) **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):

☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

IV. ☐ This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):

☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

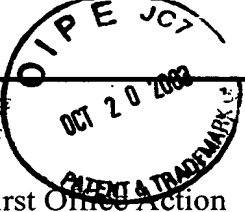
☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,



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Enclosures



FORM PTO-1449 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Atty. Docket No. 83559RLW Customer No. 01333	Serial No. 10/625,426
If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee		
LIST OF ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		Applicant: David J. Nelson, et al Filing Date 23 July 2003 Group To Be Assigned

U.S. PATENT DOCUMENTS						
Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,734,227	03-29-1988	Smith			
	5,789,505	08-04-1998	Wilkinson et al.			
	5,944,996	08-31-1999	DeSimone et al.			
	6,131,421	10-17-2000	Jureller et al.			
	6,228,826 B1	05-08-2001	DeYoung et al.			
	6,471,327 B2	10-29-2002	Jagannathan et al.			
	2002/0118245 A1	08-29-2002	Jagannathan et al.			
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	2003/0030706 A1	02-13-2003	Jagannathan et al.			
	2003/0107614 A1	06-12-2003	Nelson et al.			
	2003/0117471 A1	06-26-2003	Sharma			
	2003/0121447 A1	07-03-2003	Irvin et al.			
	2003/0122106 A1	07-03-2003	Irvin et al.			

FOREIGN PATENT DOCUMENTS						
Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	1 236 519 A1	09-04-2002	Europe			no
	1 275 511 A2	01-15-2003	Europe			no
	1 318 021 A1	06-11-2003	Europe			no
	1 321 303 A1	06-25-2003	Europe			no
	WO 02/45868 A2	06-13-2002	WO-PCT			no
	WO 03/006563 A1	01-23-2003	WO-PCT			no
	WO 03/053561 A2	07-03-2003	WO-PCT			no

OTHER ART <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
Supercritical Fluid Cleaning, Fundamentals, Technology and Applications, John McHardy & Samuel Sawan, EDS., Noyes Publications, Westwood, New Jersey (1998), pp 87-120, Chapter 5, "Surfactants and Microemulsions in Supercritical Fluids" by Kevin Jackson, & John L. Fulton
J. Bernstein & J. Henk, Industrial Applications of X-Ray Diffraction, Chapter 25, Frank H. Chung, & Deane K. Smith, eds., Marcel Dekker Inc., New York pp 531-532, (2000).
Martin Brickmann et al., Journal of the American Chemical Society, 2000, 122, 5147-5157
M. Braun, et al., Journal of Chemical Physics, Volume 114, Number 21, pp 9625-9632 (1 June 2001)
A. H. Herz, Photographic Science & Engineering, Volume 18, Number 3, May/June 1974, pp 323-335, Dye-Dye Interactions of Cyanines in Solution and at AgBr Surfaces
E. Jelley, Nature, Letters to the Editor, 138, December 12, 1936, pp 1009-1010

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	